


FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>4672-5</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  <b>10/507,487</b>
INTERNATIONAL APPLICATION NO. <b>PCT/SE03/00391</b>	INTERNATIONAL FILING DATE <b>10/03/2003</b>	PRIORITY DATE CLAIMED <b>11/03/2002</b>

TITLE OF INVENTION  
**HAND HELD PRINTING OF TEXT AND IMAGES FOR PREVENTING SCREW AND CUTTING OF PRINTED IMAGES**

APPLICANT(S) FOR DO/EO/US  
**BRETON**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
  2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
  3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☐ The U.S. has been elected (Article 31).
  5. A copy of the International Application as filed (35 U.S.C. 371(c)(2).
    - a. ☐ is attached hereto (      pages specification, claims & abstract (      claims),      sheets drawings).
    - b. ☐ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3)
    - a. ☐ is attached hereto (      pages specification, claims & abstract (      claims),      sheets drawings,      page Certificate of Translation).
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3).
  9.
    - a. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4).      page
    - b. ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (      page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5).
- Items 11 To 20 below concern document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
  13.
    - a. ☐ A FIRST preliminary amendment.
    - b. ☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☒ An Application Data Sheet under 37 C.F.R. § 1.76.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☒ Other items or information. Request to Update Patent Office Records

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.53) <b>10/507,487</b>		INTERNATIONAL APPLICATION NO. <b>PCT/SE03/00391</b>		ATTORNEY'S DOCKET NUMBER <b>4672-5</b>	
<input checked="" type="checkbox"/> The following fees are submitted:					
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>					
21. <input type="checkbox"/>	Basic national fee .....			\$300.00 (1631)/\$150.00 (2631)	\$
22. <input type="checkbox"/>	Examination Fee.....			\$0 (1643/2643)	\$
				\$200.00 (1633)/\$100.00 (2633)	
23. <input type="checkbox"/>	Search Fee .....			\$0 (1640/2640)	
				\$100 (1641)/\$50 (2641)	
				\$400 (1642)/\$200 (2642)	
				\$500.00 (1632)/\$250.00 (2632)	\$
<b>TOTAL OF ABOVE CALCULATIONS</b>					\$ 0.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
0 -100	0	/50 = 0.00		\$0.00 (1681) \$0.00 (2681)	
Surcharge of <b>\$130.00 (1617)/\$65.00 (2617)</b> for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
CLAIMS	NUMBER FILED		# EXTRA	RATE	
Total Claims	23	minus 23 =	0 X	\$50.00 (1615)/	\$25.00 (2615)
Independent Claims	3	minus 3 =	0 X	\$200.00 (1614)	\$100.00 (2614)
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)				\$360.00 (1616)/\$180.00 (2616)	
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)					\$ 1020.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.					
Processing fee of <b>\$130.00 (1618)</b> , for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					0.00
<b>TOTAL NATIONAL FEE =</b>					\$ 1020.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00 (8021)</b> per property					\$ 0.00
Fee for Petition to Revive Unintentionally Abandoned Application; <b>\$1500.00 (1453) / \$750.00 (2453)</b>					\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>					\$ 1020.00
09/15/2005 ATRAMI 00000101 10507407					Amount to be refunded: \$
01 FC:1253 1020.00 00					Amount to be Charged: \$
a. <input type="checkbox"/> A check in the amount of \$1020.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> <b>CREDIT CARD PAYMENT FORM ATTACHED.</b> e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/SE03/00391 and any U.S. and foreign application(s) corresponding thereto, and SE 0200709-4, referred to in this application is/are hereby incorporated by reference in this application. <b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.</b> <b>CORRESPONDENCE ADDRESS</b> Direct all correspondence to: <input checked="" type="checkbox"/> <b>Customer Number:</b> <span style="border: 1px solid black; padding: 2px 10px;"><b>23117</b></span> Type Customer Number here Telephone: (703) 816-4000 PTB:jck <div style="text-align: right;">   <b>Paul V. Bowen</b>  NAME  <b>38,009</b> <b>September 8, 2005</b>  REGISTRATION NUMBER Date </div>					



PTB 4672-5  
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/507,487	FIRST NAMED APPLICANT Alex Walling	ATTY. DOCKET NO. 4448-16
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INTERNATIONAL APPLICATION NO.
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PCT/SE03/00391

I.A. FILING DATE 03/10/2003	PRIORITY DATE 03/11/2002
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23117  
NIXON & VANDERHYE, PC  
1100 N GLEBE ROAD  
8TH FLOOR  
ARLINGTON, VA 22201-4714

DOCKETED

CLT/MATTER # 4448-16  
MAIL DATE 4/14/05  
DUE DATE Jun 14, 2005  
FINAL DEADLINE Nov 14, 2005  
DOCKETED BY [Signature]

CONFIRMATION NO. 8570

371 FORMALITIES LETTER

\*OC000000015720486\*

\*OC000000015720486\*

Date Mailed: 04/14/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/13/2004
- Copy of the International Search Report filed on 09/13/2004
- Preliminary Amendments filed on 09/13/2004
- Information Disclosure Statements filed on 09/13/2004
- Request for Immediate Examination filed on 09/13/2004
- U.S. Basic National Fees filed on 09/13/2004
- Priority Documents filed on 09/13/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/507,487	PCT/SE03/00391	4448-16

FORM PCT/DO/EO/905 (371 Formalities Notice)

44

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

BRETON

Atty. Ref.: 4672-5

Serial No. 10/507,487

TC/A.U.: Unassigned

Filed: September 13, 2004

Examiner: Unassigned

For: HAND HELD PRINTING OF TEXT AND IMAGES FOR  
PREVENTING SCREW AND CUTTING OF PRINTED IMAGES

\* \* \* \* \*

September 8, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR UPDATED PATENT OFFICE RECORDS**

Applicant hereby requests that the Patent Office Records be updated to reflect Applicants' recent change of name from "Alex M. Walling" to --Alex Alejandro Breton-- as reflected in the official document evidencing a name change and translation thereof filed in the Swedish Patent Office, copies of which are attached hereto.


Applicants submit an Application Data Sheet noting such change for the Patent Office's convenience.

Should any Patent Office personnel have questions regarding this change, please contact the Applicant's representative at the telephone number listed below.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:



Paul T. Bowen  
Reg. No. 38,009

PTB:jck

Attachments:

Official Document Evidencing a Name Change and Translation  
Application Data Sheet

901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100

**Best Available Copy**



Rec'd PCT/PTO 08 SEP 2005  
NAMNBEVIS

## PATENT- OCH REGISTRERINGSVERKET

har idag efter ansökan godkänt efternamnet

*Breton*

för  
*Alex Alejandro Miranda Walling*

personnummer  
670605-0413

Skattemyndighet  
Stockholm

Skattekontor  
Stockholm 1

Kopia av detta namnbevis har tillställts vederbörande skattemyndighet för registrering i  
folkbokföringsregistret.

Söderhamn den 28 januari 2003

Patent- och registreringsverket

Birgitta Persson  
Enheten för Personnamn

Övrig information, se omstående sida.

Rest Available Copy

FOTOKOPIA  
Bestyrkas i händelsen:  
*Hilde Sjöman*

Translation of the official document evidencing a name change

Translation

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Evidence of Name

## The Patent and Trademark Office in Sweden

has today after an application approved of the last name

**Breton**

for  
Alex Alejandro Miranda Walling

Social security number  
670605-0413

Taxation authority  
Stockholm

Taxation office  
Stockholm 1

A copy of this evidence of name has been sent to the concerned taxation authority for registration in the name registration register.

Söderhamn 28 January, 2003

Patent and Trademark Office

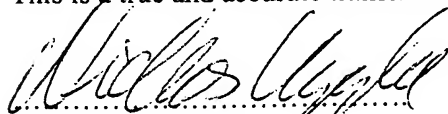
Birgitta Persson  
Division for personal names

*More information, see on the back.*

End of translation

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This is a true and accurate translation of this document, which is made by the undersigned



Niclas Ugglar, 7 July, 2003

Rest Available Copy

Ehrner & Dellmar Patentbyrå AB  
Att: Nicklas Ugglä  
Box 10316  
100 55 STOCKHOLM

## OBS!

Personbevis är endast ett intyg om vad  
som är registrerat i folkbokföringsregistret  
och kan inte användas som ID-handling.

## Ändamål IPR-Matters

## Följande uppgifter är registrerade i folkbokföringsregistret

\* markerar mellannamn

Personnummer	670605-0413
Namn	Breton, Alex Alejandro
Skattekontor	Stockholm 2, Skattemyndigheten i Stockholm
Bostadsadress	c/o NIPENSION Lindvägen 128 2 Tr 120 48 ENSKEDE GÅRD
Folkbokföring	Folkbokförd 2003-05-12
Län	Stockholm
Kommun	Stockholm
Församling	Enskede
Medborgare i	Mexico
Civilstånd	Skild 1992-07-31
Namn som ogift	Breton
Födelseort	Puebla Pue
Land	Mexico

-----  
SLUT PÅ UTSKRIFT

## Ytterligare upplysningar:

Personbevis visar uppgifter som finns  
registrerade för en person i det lokala  
folkbokföringsregister som förs inom  
skattekontoret. Andra uppgifter än namn  
och personnummer kan inte visas för  
person som är folkbokförd inom annat  
skattekontors område.Personuppgifter för den som är  
avregistrerad från folkbokföringen  
(utvandrad eller avliden) aktualiseras  
som regel inte och avser därför  
normalt förhållanden vid tidpunkten  
för avregistreringen.

Maria Cracion



Skattekontoret i STOCKHOLM 2  
106 61 STOCKHOLM  
Telefon: 0771-667567

Date  
2003-12-02

Ehrner & Dellmar Patentbyrå AB  
Att: Nicklas Ugglå  
Box 10316  
100 55 STOCKHOLM

Personal identity no.	1967-06-05-0413
Name	Breton, Alex Alejandro
Tax office	Stockholm 2, Skattemyndigheten i Stockholm
Residential address	c/o NIPENSION Lindvägen 128 2 Tr 120 48 ENSKEDE GÅRD
Population registration	Registered 2003-05-12
County	Stockholm
Municipality	Stockholm
Parish	Enskede
Citizen of	Mexico
Civil status	Divorced 1992-07-31
Name as single	Breton
Place of birth	Puebla Pue
Country	Mexico
Sex	Male

END OF EXTRACT

*Alma Cracion  
Maria Cracion*

Best Available Copy